



Global Investor/ISF equity lending technology and vendor survey 2017

Methodology

Both borrowers and lenders are asked to rate three types of technology provider: SBL trading platform, post-trade service and software solution.

Respondents are asked to rate these providers between 1 (unacceptable) and 7 (excellent) across several service categories. Five of these categories are the same for each of the provider types and three categories are unique to each.

Tables

The responses of borrowers and lenders are combined, averaged and presented in an overall table for each technology provider type.

There will be regional tables for EMEA, Asia-Pacific and the Americas. The client respondent's specified location will determine the region of the response.

A firm will need to qualify in at least 2 of the 3 regions to qualify for the overall table.

There will also be category tables for overall qualifying firms.

Qualification

Overall

For each of the technology types, firms need a minimum of 15 responses to qualify overall. The firm must also qualify in a minimum of two regions (EMEA, Asia-Pacific, Americas). If two or more people from the same entity rate the same provider in the same region, the ratings will be averaged and the responses will count as a single response for qualification and table calculation purposes.

Regional

For regional tables (EMEA, Asia-Pacific, Americas) firms need a minimum of seven responses to qualify.

Categories

The following five categories are applied to all three technology provider types:

- ROI/cost efficiency
- Ease of integration and customisation
- User interface – including system responsiveness/speed
- Client service – helpdesk responsiveness to solve issues
- Innovation

In addition, each type of technology has unique categories:

SBL Trading platform

- Footprint/breadth of functionality – including available inventory management
- Reliability of platform
- Order management/STP process

Post-trade service

- Reconciliation Ability (including overnight versus intraday processing)
- Proportion of STP/Effectiveness of mark-to-market process
- Market connectivity (e.g. to market data vendors, central counterparties, depositories, tri-party providers, number of markets etc)

Software solutions

- Availability/inventory management
- Collateral optimisation features
- Collateral haircut, eligibility and concentration control

Respondents are invited to complete the survey for any vendor, the following options are listed:

SBL TRADING PLATFORM

- Other (Please Specify)
- EquiLend/BondLend
- FIS Loanet

POST-TRADE SERVICE

- Other (Please Specify)
- EquiLend PTS
- Pirum Systems
- FIS Loanet

SOFTWARE SOLUTIONS

- Broadridge
- Calypso Collateral & Funding
- CloudMargin
- Helix FS
- Lombard Risk COLLINE
- Murex MX3
- Stonewain Systems
- Trading Apps